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Paralogy and orthology in the Malvaceae *rpb2* gene family: investigation of gene duplication in *Hibiscus*.

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abbreviations: BS = bootstrap, CI = consistency index, GTR = general time reversible, mya = million years ago, PP = posterior probability, RE = restriction enzyme, RI = retention index

Abstract

A sample of the second largest subunit of low-copy nuclear RNA polymerase II (*rpb2*) sequences from Malvaceae subfamily Malvoideae suggests that *rpb2* has been

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duplicated early in the subfamily's history. *Hibiscus* and related taxa possess two *rpb2* genes, both of which produce congruent phylogenetic patterns that are largely concordant with cpDNA topologies. No evidence of functional divergence or disruption was found amongst duplicated copies, suggesting that long term maintenance of duplicated copies of *rpb2* is usual in this lineage. Therefore, this gene may be suitable for the potential diagnosis of relatively old polyploid events. One probable pseudogene was found in *Radyera farragei* and a single chimeric sequence was recovered from *Howittia trilocularis*, suggesting that the *rpb2* locus is not as prone to evolutionary processes that can confound phylogenetic inferences based on nDNA sequences. The pattern of relationships among *rpb2* sequences, coupled with chromosome number information and Southern hybridization data, suggests that an early polyploid event was not the cause of the duplication, despite independent evidence of paleopolyploidy in some members of Malvoideae. *Rpb2* exons and introns together are suitable for phylogenetic analysis, producing well-resolved and well-supported results that were robust to model permutation and congruent with previous studies of subfamily Malvoideae using cpDNA characters.

Introduction

Malvaceae s.l. subfamily Malvoideae (sensu Bayer et al. 1999; = Malvaceae s.s.) is a mostly tropical group of plants comprising three main species-rich lineages: tribes Gossypieae and Malveae, and a group of taxa nested within the large genus *Hibiscus* (Pfeil et al. 2002). The subfamily shows a large diversity of chromosome numbers (Bates 1968; Bates and Blanchard 1970; Fryxell 1988). Base chromosome numbers in the tribe Gossypieae have a limited range (n = 10–13; Fryxell 1968, and references therein), while those in *Hibiscus* and related genera (hereafter *Hibiscus* s.l., see Pfeil et al. 2002) are more variable (n = 12– c.144, commonly n = 14 or 18; Fryxell 1968; Fryxell 1988, and references therein). Malveae also displays a wide chromosome number diversity and contains the lowest haploid numbers seen in the subfamily (n = 5–21; Bates 1968; Bates and Blanchard 1970). However, the high chromosome numbers seen in generic groups in Malveae are often exact multiples of, or additions of, the base numbers found in those groups (e.g., the *Sida* alliance sensu Fryxell, 1988, has n = 7, 8, 16, 21). This suggests that several independent rounds of ploidy increase have taken place from these low base numbers subsequent to the divergence of the generic groups.

A recent allopolyploid event has taken place 0.5–2 mya in *Gossypium*, and has been the focus of intensive investigation (Wendel 1989; Reinisch et al. 1994; Small et al. 1998; Brubaker et al. 1999; Cronn et al. 1999). While *Hibiscus* also contains known polyploids, those best understood (in *Hibiscus* section *Furcaria*) have not received the same attention (Wilson 1994). However, 'diploid' species in *Gossypium* appear to have undergone an early ploidy increase, which may be as old as 20–40 MY, probably predating the divergence of genera in this tribe (Reinisch et al., 1994; Seelanan, Schnabel and Wendel

1997; Brubaker, Paterson and Wendel 1999). The evidence for this rests on three observations: 1) high haploid chromosome numbers (13 in diploid *Gossypium*, 12-13 in most members of the tribe; Webber, 1934; Fryxell 1968), 2) mapping studies in which diploid and allopolyploid subgenomes contain nested duplications (Reinisch et al., 1994; Brubaker, Paterson and Wendel 1999), and 3) a BrdU-Hoechst-Giemsa banding analysis that revealed similarity between six *non-homologous* pairs of diploid chromosome pairs (i.e., suggesting an ancestral haploid chromosome number of seven) in two Gossypium A-type genomes (Muravenko et al., 1998).

As part of an ongoing study of the phylogeny of Malvaceae subfamily Malvoideae we wished to test hypotheses of allopolyploidy in *Hibiscus* using a low-copy nuclear gene. However, characterization of low-copy nuclear markers is advisable to determine orthologous versus paralogous relationships among sequences due to the possibility of gene duplication followed by selective gene silencing or loss (Wendel and Doyle 1998). Gene duplication due to polyploidy may be prevalent in plant nuclear gene families, given the high estimates of polyploidy in some plant groups (e.g., angiosperms, Masterson 1994), although it is not the only cause of duplication (Wendel 2000; and references therein). Concerted evolution among duplicated loci may obscure comparable phylogenetic patterns among gene lineages produced by gene duplication and is another process that needs to be taken into account when examining data from nuclear regions.

This paper reports the results of an initial survey of the main lineages in Malvaceae subfamily Malvoideae for the gene that encodes the second largest subunit of RNA polymerase II. This enzyme is responsible for the synthesis of pre-mRNAs, and its second largest subunit (*rpb2*) in *Saccharomyces cerevisiae* (yeast) contains two known functional domains: a purine nucleotide binding domain, and a zinc complexing domain (Sweetser, Nonet and Young 1987). This gene is found in a single copy in the haploid

yeast genome and encodes a polypeptide that contains several blocks of amino acid sequences that are relatively conserved between yeast and the product of the *rpoB* gene, a prokaryotic RNA polymerase subunit found in *Escherichia coli* (Sweetser, Nonet and Young 1987). At least some of these conserved blocks overlap blocks of amino acids shared amongst eukaryotes (the latter defined in Denton, McConaughy and Hall 1998).

Rpb2 has been used in four phylogenetic studies of plants (Denton, McConaughy and Hall 1998; Oxelman and Bremer 2000; Popp and Oxelman 2001; Oxelman et al. in press). Denton, McConaughy and Hall (1998) found no evidence for the presence of multiple copies in the 11 species they sampled. However, Oxelman and Bremer (2000) reported the presence of two copies in Gentianales, one of which did not contain introns in the portion of the gene that they studied. Oxelman and Bremer (2000) also cited unpublished research that found two copies in *Lycopersicon* and *Rhododendron*. Popp and Oxelman (2001) demonstrated the utility of *rpb2* in the diagnosis of a relatively recent polyploid and did not find any recombinant sequences in the portion of *rpb2* used in that study. Oxelman et al. (in press) found that the duplication of copies in asterids appears to have occurred near the origin of the core eudicot group, inferring that the gene must have been lost in the rosids they investigated.

The aims of this study are to: 1) determine whether *rpb2* has been duplicated in Malvaceae, 2) if duplicated, to document the patterns of gene evolution and the relationships among and between copies of this gene, 3) determine whether this gene is suitable for the diagnosis of polyploid events in Malvaceae, both relatively recent and ancient, and 4) determine whether the rate of sequence change in the 700 bp part of *rpb2* examined here is suitable for phylogenetic inference in Malvaceae subfamily Malvoideae, a group that appears to have radiated about 40 mya.

Materials and Methods

Taxon Sampling

The taxa sampled (Table 1) represent the primary Malvoideae lineages identified from analysis of chloroplast DNA (cpDNA) sequences (*Alyogyne*, Gossypieae, Malveae, *Hibiscus s.l.*, *Howittia* + *Lagunaria*, *Radyera*; Pfeil et al. 2002). We used a close outgroup *Fremontodendron* (in subfamily Bombacoideae, Bayer and Kubitzki 2002), which, although closely related to subfamily Malvoideae, is outside it according to several cpDNA based analyses (Alverson et al. 1999; Bayer et al. 1999; Pfeil et al. 2002). We also used a more distant outgroup *Melhania* (in subfamily Dombeyoideae, Bayer and Kubitzki 2002). While this taxon has not been sampled in previous cpDNA analyses, other members of its subfamily have been (e.g., *Dombeya*) and are more distantly related to subfamily Malvoideae than is *Fremontodendron* (Alverson et al. 1999; Bayer et al. 1999).

Rpb2 *Cloning and Sequencing*

PCR, using the plant-specific *rpb2* primers P6F and P7R (Denton, McConaughy and Hall 1998), produced a c. 1300 bp band. Direct sequencing of this band produced readable sequences for diploid *Gossypium*, but not for several species of *Hibiscus*. The *Gossypium* genomic DNA sequences were compared with cDNA sequences from *Arabidopsis* (GenBank accession Z19120) in order to design an internal forward-reading primer (situated approximately half way between P6F and P7R). This primer, designated P6FB (with the 5' to 3' sequence ACA CTG AAG TTG GTG TTG TTC G/T), begins at nucleotide 1802 in *Arabidopsis* (Z19120) and amplifies a c. 700 bp product. It was used in all subsequent PCR reactions in combination with P7R. Primers P6FB and P7R capture three complete introns, all of two exons and the 5' and 3' ends of two flanking exons.

PCR conditions were: 92°C for 1 min, then 5 cycles at 55°C (30 sec to 1 min), 72°C (50 sec to 1 min) and 92°C (1 min); then 5 cycles with a touchdown from 55 to 53°C (-0.4°C each cycle), 72°C (1 min adding 2 sec each cycle) and 92°C (1 min); then 20 cycles at 53°C (1 min), 72°C (70 sec), and 92°C (1 min). Finally a 5 min extension step at 72°C was done.

PCR products were cleaned using a Microcon PCR filter (Amicon) to increase ligation efficiency, then cloned using a T-A cloning kit with JM109 competent cells according to the manufacturer's protocol (pGEM-T vector system, Promega). After growing on a nutrient medium containing XGAL and IPTG, blue/white screening of colonies was done. Positive colonies were harvested and mixed with 15 µL TE, and replicated on an archival LB-ampicillin plate. Two µL of the TE colony suspension were then used as a PCR template using the universal M13 forward and reverse primers for PCR screening of the insert size and subsequent sequencing of colonies with the inserted region. Up to six clones were forward and reverse sequenced to control for error in *Taq* polymerase (Perkin Elmer) replication of the target sequence and to identify allelic and homoeologous versions of the gene. While most DNA was extracted from single individuals, some DNA was extracted from multiple progeny (due to limited material in some cases). Therefore, multiple alleles belonging to either heterozygous individuals or multiple individuals were occasionally detected.

To avoid making *a priori* orthology and paralogy assessments, individual clones rather than consensus sequences have been used in these analyses; however, this means that *Taq* errors may be present in some sequences. *Taq* polymerase error in PCR occurs at a frequency of 0.27–0.85 x 10^{-4} point mutation errors per bp per cycle; this translates to an expectation that zero to two nucleotide differences between clones from the same PCR reaction may be attributed to *Taq* error and sequences that differ more substantially can

reasonably be inferred to arise from alleles, orthologues, or paralogues. Furthermore, because *Taq* errors causing substitutions are mostly random (although indels may not be, Bracho, Moya and Barrio 1998), it is unlikely that any two sequences would share identical *Taq* errors to create false synapomorphies.

Alignment and Analysis

The first phylogenetic analysis was done to place the Malvaceae *rpb2* sequences in a broader context and to test the monophyly of these sequences. Sequences from GenBank were added to those gathered here (Figure 1). Exons alone were used, because introns could not be reliably aligned between sequences from Malvaceae and other families.

Rpb2 exon sequences were aligned by eye. The 385 bp alignment required the inference of only a single three bp indel. These sequences were analyzed under maximum parsimony using PAUP* 4.10b (Swofford 1998), with 100 random addition sequence (RAS) replicates, keeping 100 trees per replicate and swapping to completion with a 10,000 maxtrees buffer. Bootstrap (BS) percentages are based on 200 BS replicates, with 10 RAS replicates, keeping 10 trees per RAS replicate. Analysis under differing models of evolution was not conducted, since the results clearly indicated that Malvaceae *rpb2* exon sequences are more closely related to each other than to other plant *rpb2* sequences.

Distances from each ingroup sequence to an outgroup (*Spinacia*) were calculated using the p-distance function in PAUP* (Swofford 1998) to detect rate heterogeneity that may be associated with loss of function in some gene copies. In-frame codons (relative to the known reading frame in *Arabidopsis*) were examined in the ingroup sequences to check for the presence of premature stop codons, again to check for loss of function. Amino acid substitutions shared by several clones were examined among those taxa with more than one *rpb2* copy. The types of substitution and location of changes may indicate whether functional divergence or functional disruption may be occurring (if significant

amino acid substitutions occur in functional domains), or whether long-term maintenance of multiple copies with the same function has occurred in these taxa (if no significant changes occur, or changes are confined to regions that may not be critical to function).

The second phylogenetic analysis conducted used exons and introns (810 bp) solely from Malvaceae taxa (with *Radyera* excluded, see below), with 54 indels included at the end of the matrix. Known mechanisms of mutation were considered when coding indel characters (see Kelchner 2000). Analyses under maximum parsimony and BS resampling, using *Melhania* as the outgroup, were conducted as above. Although *Melhania* was not sister to all other Malvaceae in the exon-only analysis (details not shown), there is no meaningful BS support for this result. The taxonomic position of *Melhania* (as mentioned above) suggests that it is an appropriate outgroup choice. Midpoint rooting of trees based on exons and introns (while not completely reliable) is nonetheless consistent with this assumption. An additional analysis using likelihood allowing for separate patterns of evolution for coding vs. noncoding regions vs. indels (with the GTR + gamma model for the sequences, a single substitution class + gamma for the indels, and with separate parameter estimates for each of the partitions) was conducted using Bayesian methods (Huelsenbeck et al. 2001, and references therein), implemented in Mr Bayes v. 3.0 (Huelsenbeck and Ronquist 2001) with flat prior probabilities. 500,000 generations in four chains were run, sampling every 10 generations. After examining where the likelihood scores stabilized, the first 5000 samples were discarded as the burnin phase. This analysis was done to see if the clades found in the parsimony analysis were robust to a different model of sequence evolution. The indels were analyzed with only a single substitution class (they were coded using nucleotides in the matrix), as the relative probabilities of indel changes are not as well understood as nucleotide substitutions. GTR

was arbitrarily chosen for analysis of the sequences. Among site rate heterogeneity is a common feature of molecular data, both of introns and coding regions (pers. obs.), therefore gamma was included in the model for each partition in the Bayesian analysis.

During the initial alignment a chimeric sequence of *Howittia trilocularis* was found by eye and confirmed using separate analyses of the first 290 bp versus the remainder of the sequence, without indels encoded. This sequence was excluded from subsequent analyses.

Southern Hybridizations

DNA (10 µg) from taxa representing each major clade (*Gossypium hirsutum* [tetraploid n = 26], *G. arboreum* [diploid n = 13], and *G. hirsutum* X *barbadense* [tetraploid n = 26], *Alyogyne huegelii* [n = 32], *Alyogyne cravenii* [n = unknown], *Modiola caroliniana* [diploid n = 9], *Malva neglecta* [hexaploid n = 21], *Radyera farragei* [n = 18], *Fioria vitifolia* [n = 16,17] and *Hibiscus pentaphyllus* [n = 18]) were digested with four restriction enzymes (BamHI, NcoI, SacI, and XhoI) and transferred to a positively charged nylon membrane. The membrane was probed with a mixture of *rpb2* PCR fragments amplified from the taxa on the membrane and washed at high-stringency to maintain specificity for *rpb2* and no other RNA polymerases

Synonymous and Nonsynonymous rates from ingroup-outgroup comparisons

Synonymous (Ks) and nonsynonymous (Ka) rates of substitutions were estimated by the weighted method of Yang and Nielsen implemented in PAML 3.0 (Yang 2000) by pairwise comparisons of Malvoideae sequences to a *Melhania* sequence. Only one clone per paralogue comparison was made so as not to bias the comparison towards those taxa with larger numbers of clones per paralogue.

Genes maintained by purifying selection are expected to show Ka/Ks < 1, whereas noncoding sequences (i.e., pseudogenes) are expected to have Ka/Ks ratios that approach

1. Ka/Ks ratios were examined to identify clones that were violating expected Ka/Ks ratios for coding sequences. As this method uses an outgroup comparison, any differences seen in the Ka/Ks ratio should reflect differences since the divergence of the paralogues.

Results

Malvaceae rpb2 *sequences are monophyletic*

Of the c. 700 bp of *rpb2* sequenced, 385 bp were partial or whole exons. The exons from Malvaceae species alone contained 33.5% parsimony informative sites and 39.5% total variable sites.

A bootstrap consensus tree (Figure 1, left) shows good support for the monophyly of all the Malvaceae sequences (BS 97%). A representative phylogram (one of the most parsimonious trees) illustrates the sequence similarity among Malvaceae *rpb2* exons relative to other flowering plants (Figure 1, right).

Some Malvaceae rpb2 *sequences may originate from pseudogenes*

The distribution of p-distances between Malvaceae and *Spinacia* exon sequences identified two sequences from *Radyera* that are evolving at a much faster rate than are other Malvaceae (Figure 2). These two sequences also contain premature in-frame stop codons; all the other sequences had open reading frames, except three *Alyogyne huegelii* sequences (discussed below). A BLASTN search (Altschul et al. 1997) on GenBank nevertheless found that the *Radyera farragei* sequences still matched *rpb*2 sequences from other angiosperms more closely than any other region (E = 2 x 10^{-7} for several parts of *rpb*2 cDNA from *Spinacia*), ruling out unintentional amplification of other members of the RNA polymerase gene family. Together, these results indicate that the *rpb2* sequences recovered in *Radyera* represent a gene that has lost its function and become a pseudogene.

Three clones of *Alyogyne huegelii* (2, 4, and 5) contain a 14 bp deletion relative to the other clones from that species and to all other sequences in the study (except *Radyera*, where the difference is 17 bp). This deletion caused a frame shift in these sequences with the presence of numerous downstream premature stop codons. Without this deletion, and aligned with the rest of the matrix, these sequences would not contain premature stop codons. The other clones from this species (1, 3, and 6) do not contain this deletion, and the sequence differences among clones with and without the deletion are otherwise unremarkable. No obvious rate shift is present in *A. huegelii* clones 2, 4, and 5 compared with all other exon sequences examined. It is not clear whether this deletion is indicative of a copy of *rpb2* that has lost its function more recently than the *Radyera* sequences or if this deletion is a PCR artifact.

One Howittia trilocularis *clone is chimeric*

A single *Howittia trilocularis* clone (clone 3) occupied two well-supported alternative positions in separate parsimony analyses of the first 290 bp versus the remaining 379 bp (not shown; BS support of > 98% in each case). This sequence clearly combines the first part of a sequence very similar to clones 1, 6 and 7 (up to and including position 242) with the second part of a sequence very similar to clones 10 and 12 (from position 299). The intervening sequence does not contain synapomorphies for either group of clones, so the exact position of the recombination is unclear, although it is contained within the second exon. No autapomorphic A/T insertion was observed within the intervening sequence, which is often, but not always, observed if fragmented DNA was causing PCR mediated recombination (Pääbo et al. 1990). However, template mixtures can show PCR mediated recombination without autapomorphic A/T insertions (e.g., Popp and Oxelman 2001). Therefore, the chimeric sequence pattern observed in *Howittia* clone 3 may be due to

either inter-genic recombination (forming a new locus) or to PCR-mediated recombination.

The rpb2 *locus is duplicated in some Malvaceae*

The entire *rpb2* fragments could be aligned among the Malvaceae representatives and the two closely related outgroups (*Melhania* and *Fremontodendron*). The final alignment was 810 bp in length and to this 54 indel characters were appended prior to analysis. This alignment had 37.5% parsimony informative sites, and 43.3% total variable sites (excluding *Melhania* and *Fremontodendron*, 34.6% and 39.5% were parsimony informative and total variable sites respectively). The number of parsimony-informative sites differed markedly between exons and introns (with coded indels in the latter). The Malvaceae exons (excluding *Radyera* sequences) contained 21.3% informative sites, whereas the introns and coded indels contained 50.5% informative sites.

The exon and intron parsimony analysis found 1915 shortest trees of length 851 steps with high internal consistency (CI = 0.65 or 0.62 with or without uninformative characters, and RI = 0.90), the strict consensus of which is shown in Figure 3. Bootstrap and Bayesian posterior probabilities (PP) are also shown in Figure 3 and are approximately correlated. Only one node (grouping *Alyogyne cravenii* 3 and *Hibiscus pedunculatus* 5, with PP 85%) not found in the parsimony strict consensus was present in the Bayesian posterior probability majority rule tree (latter tree not shown), otherwise results differ only in the degree of support found under the alternative models. The results found in the parsimony analysis therefore appear to be robust to this model permutation.

Several taxa had distinctive sequence types that were not sister to each other (including all *Hibiscus* species sampled) and formed two well-supported clades with similar internal phylogenetic pattern (clades 1 and 2 in Figure 3). This includes *Alyogyne cravenii*, which is now thought to belong in *Hibiscus* rather than *Alyogyne* (Pfeil and

Craven, submitted). This is consistent with the duplication of the *rpb2* locus in these taxa. That both of the duplicated *rpb2* loci are unambiguously nested within the Malvaceae (BS 97%, Figure 1) demonstrates that duplication (regardless of mechanism) post-dates the divergence of Malvales from the other angiosperms and is not linked to the other known plant *rpb2* duplications (Oxelman and Bremer 2000; Popp and Oxelman 2001; Oxelman et al. in press).

The *Lagunaria* and *Howittia* sequences also formed two clades, each of which contains sequences of *Lagunaria* sister to those of *Howittia*, demonstrating that *rpb2* is duplicated in these taxa as well.

Sequences from the tribe Gossypieae (*Gossypium* and *Thespesia*), tribe Malveae (*Abutilon*, *Lavatera*, *Malva*, *Modiola* and *Sida*), and *Alyogyne* (*A. huegelii* and *A. pinoniana*) did not display multiple sequence types falling into different well-supported clades. In fact, these taxa formed a clade in the strict consensus tree (clade 3, Figure 3) to the exclusion of the remainder of Malvaceae subfamily Malvoideae taxa (although with < 80% BS support, but > 95% PP).

The relationships found within clades 1 and 2 are nearly identical with those found in cpDNA (Pfeil et al. 2002, and unpublished data). One minor exception is marked by the arrow in Figure 3. *Hibiscus macrophyllus* was expected to be sister to *Urena* + *H. surattensis* based on cpDNA, whereas a clade of *H. trionum* + *Pavonia* occupied that position.

Amino Acid changes

Nine amino acid changes amongst the taxa containing duplicated copies of *rpb2* (*Lagunaria*, *Howittia* and *Hibiscus* s.l.) occurred in more than one clone. Five of these amino acid positions showed unambiguous change among either the *Lagunaria* and *Howittia* copies (position 86) or among the *Hibiscus* s.l. copies (positions 43, 46, 50, 54

and 86). Comparison of yeast and *Arabidopsis* amino acid sequences revealed that the position of these changes is within a less conserved portion of the *rpb2* gene (a portion where amino acid similarity is 48% or less, not shown). Of the six copy-specific changes at five sites, only two showed a shift between amino acid classes: position 43 changes from basic histidine to hydrophobic leucine, while position 46 changes from polar glutamine to basic histidine. No changes from polar to hydrophobic or from acidic to basic (and vice versa) were observed.

Southern Hybridizations

When genomic DNA was probed with the *rpb2* fragment sequenced here, multiple bands consistent with multiple loci were seen in several species from the *Hibiscus* s.l. lineage (*Fioria vitifolia* n = 16–17, *H. pentaphyllus* n = 18, *A. cravenii* n = unknown), although the actual locus number could not be unambiguously determined. *Radyera* also appears to have more than one *rpb2* locus, despite only a single apparently non-functional copy being recovered by PCR methods. It is extremely unlikely that *Radyera* would not have a functional *rpb2* gene, as this gene is thought necessary for cell viability (Sweetser, Nonet and Young 1987).

The Southern data do support the inference of a single *rpb2* locus for the Gossypieae and for *Alyogyne*. Diploid (n = 13) and tetraploid (n = 26) *Gossypium* species contain one and two fragments respectively, consistent with the presence of a single *rpb2* per genome. *Alyogyne huegelii* (n = 32) appears to have two *rpb2* loci, consistent with the assumption that it is a tetraploid.

The observations in two Malveae species are more complex. Diploid *Modiola* (n = 9) and hexaploid *Malva* (n = 21) appear to have multiple *rpb2* loci, although only a single sequence type was detected by PCR and cloning.

Ka/Ks ratios

With respect to the two clades formed by *rpb2* duplication in *Hibiscus* s.l., the means of the pairwise comparisons to *Melhania* of Ka for clades 1 and 2 were 0.02 and 0.05 respectively (with no overlap in their ranges), however, it is difficult to obtain valid p-values for tests of significant with this type of data (S.V. Muse, pers. comm.). The means of Ks for clades 1 and 2 were 0.40 and 0.38 respectively, and showed a large overlap in their ranges. Mean Ka/Ks ratios for clades 1 and 2 were 0.06 and 0.11 respectively, and showed little overlap in their ranges. It appears that substitutions causing amino acid changes may have occurred more quickly in clade 2 than in clade 1, but the magnitude of these changes, particularly as reflected in Ka/Ks (despite some difference among clades) indicates that any shift in selective constraint is minimal between the copies of *rpb2* maintained in *Hibiscus s.l.*

Pairwise comparisons of *Radyera* sequences to *Melhania* reveal that the Ka/Ks ratio is nearly an order of magnitude higher than the mean Ka/Ks for all other ingroup pairwise comparisons to *Melhania* (0.70 and 0.08 respectively). However, Ks did not differ so drastically. The mean of *Radyera* Ks and all other ingroup Ks were 0.35 and 0.37, respectively. While these differences could not be tested statistically, it appears that nonsynonymous changes are the main cause of the long *Radyera* branch and Ka/Ks difference seen here. This observation is consistent with the *Radyera* sequence originating from a pseudogene, because a relaxation of selective constraint could cause an increase in the nonsynonymous rate.

Discussion

Duplication and Long Term Maintenance of rpb2 *in* Hibiscus *s.l.*

The partitioning of multiple sequence types from several species into two lineages, each of which display similar phylogenetic pattern, indicates that *rpb2* is duplicated in the common ancestor of the *Hibiscus* s.l. lineage. Initial Southern hybridizations confirm the presence of more than one *rpb2* locus in these taxa. Both loci appear to be functional, insofar as neither in-frame premature stop codons, nor accelerated relative rates of evolution were found in the coding sequence examined.

Lineage-wide duplications of *rpb2* in plants (rather than single species duplications due to recent polyploidy) have only been reported for asterid angiosperms (Oxelman and Bremer 2000, Oxelman et al. in press). However, the position of the duplication event in those taxa appears to be near the origin of the core eudicot group, indicating that most rosid angiosperms examined have lost one copy of *rpb2* (Oxelman et al. in press). In contrast, the duplication in Malvaceae may be much more recent, and appears to be confined to subfamily Malvoideae, although whether it involves the tribes Gossypieae and Malveae and the genus *Alyogyne* is uncertain, due to the limited support found for several key nodes after the divergence of members of the subfamily (although see below). The age of the duplication would appear to fall between 44–39 and 31–25 million years (between the estimated age of the subfamily - Pfeil et al. 2002, and the estimated age of the youngest duplicated lineage pair - Pfeil, unpublished data).

The expectation for duplicated loci is one of several fates: long-term maintenance of the same or similar function (although developmental or organ specific expression may eventuate; Adams et al. 2003), divergence in function, silencing or loss of one copy, or intra- or inter-locus gene conversion. The last process can generate differences from

expected phylogenetic relationships (Wendel 2000). However, there is no evidence for any gene conversion in the duplicated *rpb2* lineages found here, because the phylogenetic patterns within each copy in *Hibiscus* s.l. are largely concordant with each other and with cpDNA results (Pfeil et al. 2002). Only *Radyera farragei* and *Alyogyne huegelii* may have experienced some silencing of *rpb2* duplicates.

The occurrence of either functional divergence or gene silencing is difficult to ascertain without full exonic sequences, but does not appear to be occurring (except for *Radyera farragei* and *Alyogyne huegelii*), because little difference was observed between copies in the exon sequences generated here (paralogue p-distances averaged 0.068). This is despite considerable difference among copies in their intron sequences (paralogue p-distances averaged 0.157; exons and introns differed significantly, $p < 0.05$). While copy-specific amino acid changes were found, these were not very numerous, are confined to parts of the gene that are not highly conserved, and only two of these changes resulted in a replacement amino acid from a different biochemical class. The Ka/Ks difference seen between copies may be explained by these amino acid substitutions (the range of Ka values alone does not overlap between copies), but given the small size of the difference in Ka/Ks and the position of these changes, there is no compelling evidence for either functional divergence or functional disruption.

The remaining process (locus loss) may produce gaps in the phylogeny where a sequence type is expected, but was not seen in the *Hibiscus* s.l. lineage displaying duplications here (although further sampling underway has not recovered all expected sequences, unpublished data). Long-term maintenance of some duplicated *rpb2* paralogues appears to be occurring in this lineage.

When did the rpb2 *duplication occur?*

The phylogenetic, chromosome count, and Southern hybridization data suggest two key questions with regard to the origin of the *rpb2* duplicates found in *Hibiscus* s.l.: 1) at what point in the history of subfamily Malvoideae did the duplication occur (relative to taxon divergences)? and 2) was the duplication due to a polyploid event? Given the weak nodes in the *rpb2* phylogeny among the main lineages in Malvoideae, the first question will be reduced to whether the *rpb2* duplication included the GAM lineage (i.e., all of Malvoideae) or not (i.e., only *Hibiscus* s.l. +/- the *Lagunaria*/*Howittia* lineage). It should be noted that the support for the monophyly of Malvoideae (that includes both *Hibiscus* s.l. *rpb2* clades) excluding *Fremontodendron*, which is consistent with cpDNA results, must place the *rpb2* duplication after Malvoideae diverged from *Fremontodendron*.

The *rpb2* phylogenetic data suggest that the Gossypieae-*Alyogyne*-Malveae (GAM) clade does not ancestrally possess duplicate *rpb2* copies. However, Southern data is not entirely consistent with this result. Diploid *Gossypium* is clearly in possession of only a single *rpb2* locus, while two loci are present in *Alyogyne huegelii*. If *A. huegelii* is tetraploid (as its chromosome count of n = 32 would indicate), the common ancestor of these taxa most likely possessed a single *rpb2* locus (*Alyogyne* and Gossypieae appear to be more closely related than either is to Malveae). However, both Malveae representatives, including the presumably diploid *Modiola* (n = 9), contain more than one *rpb2* locus. Thus the ancestral state in the GAM clade is equivocal, possessing either one or several *rpb2* loci.

Given the lack of supported resolution among the main Malvoideae lineages, the ancestral locus number of *rpb2* is difficult to infer, but two hypotheses present themselves: 1) the common ancestor of all Malvoideae possessed at least two *rpb2* loci, with a loss in the Gossypieae/*Alyogyne* ancestor, or 2) the Malvoideae ancestor had just

one *rpb2* locus, with duplication(s) taking place after the GAM lineage diverged from other Malvoideae (in non-GAM lineages), and within the Malveae (after it diverged from other GAM lineage members). If all of the non-GAM lineages that possess duplicated *rpb2* (*Hibiscus* s.l., *Lagunaria*/*Howittia*, *Radyera*) are most closely related and share a duplication of *rpb2*, then these hypotheses are equivocal in terms of the number of evolutionary events required to explain the observations. Although *rpb2* is unresolved with regard to the latter three lineages, chloroplast and limited morphological data do not support the monophyly of these non-GAM lineages (Pfeil et al. 2002), increasing the number of events required under the second hypothesis. Although this issue is not settled, it appears that the first hypothesis, that the common ancestor of all Malvoideae possessed at least two *rpb2* loci with subsequent losses in *Gossypieae*/*Alyogyne*, is more consistent with the data in hand.

It is unclear whether the duplication of *rpb2* is due to genome wide polyploidy, or a smaller scale segmental or single gene duplication. Given the phylogeny here and that based on chloroplast data, the ancestral chromosome number of Malvoideae may be between n = 12 and n = 18 (assuming base numbers of *Hibiscus* s.l. n = 12–18, Gossypieae n = 12–13, *Alyogyne* n = 16, Malveae n = 7–8, *Lagunaria*/*Howittia* n = unknown, *Radyera* n = 18; see references in the introduction). This number is high enough to hypothesize that Malvoideae may share a polyploid event that duplicated *rpb2*, but comparisons with other closely related Malvaceae complicate this picture. *Fremontodendron*, the closest relative sampled here, is n = 20 (Lenz, 1950) or n = 49 (Lloyd, 1965), while some of the Matisieae (which are closer to Malvoideae than *Fremontodendron* in cpDNA data) are n = 44 (*Quararibea aurantiocalyx*, Oginuma, Alverson and Baum 1999). Bombacoideae generally fall between n = 43–48 (Oginuma, Alverson and Baum 1999). If the ancestral chromosome number was c. n = 43–49, then

two independent reductions would have taken place, one in *Fremontodendron* and one in Malvoideae. If the ancestral state was c. n = 12–20, three independent increases to double or more chromosome number would be inferred. While this is a simplification of chromosome evolutionary possibilities, the main conclusion is that there is no evidence that the ancestral chromosome number in Malvoideae has doubled after divergence from *Fremontodendron*, which would be expected if Malvoideae shared a polyploid history. Therefore, it appears that *rpb2* duplicated independently rather than being the result of a polyploid event. It remains unclear how the evidence for paleopolyploidy in 'diploid' (n = 13) *Gossypium* species (see introduction) will be reconciled with this conclusion. Perhaps that evidence is the result of a much older event - one that apparently predates the Malvaceae sampled here.

Pseudogene found in Radyera

The accelerated rate of exon change coupled with the presence of premature in-frame stop codons indicated that the *rpb2* sequences found in *Radyera* represent a pseudogene. The dramatic increase in Ka in *Radyera* relative to other Malvoideae, despite very similar Ks, is consistent with this hypothesis. No functional copy was recovered from this taxon. Some sequences found in *Alyogyne huegelii* may also represent a pseudogene, although this is not clear. If so, this may be an example of a relatively recent loss of function.

Recombinant sequences rare in Malvaceae rpb2

In the absence of any information regarding locus number for *Howittia*, it is impossible to rule out either inter-genic or PCR-mediated recombination in this species. Either process, if common, can make a phylogenetic study using such a region difficult. Whichever phenomenon explains the *Howittia* recombinant clone, this observation is rare in this region and in the species investigated. Of 99 clones examined only this one case was found. Sampling of additional species has increased the number of clones to 172 with

no additional cases of recombination detected (unpublished data). It may be that this portion of *rpb2* is not prone to recombination events in Malvaceae, perhaps because of the time since the paralogues diverged, and possibly chromosomal location. Very similar multiple copies of target sequences present in the same PCR reaction are expected to generate PCR-mediated recombination (Cronn et al. 2002), while chromosomal position may influence the amount of inter-locus interactions among non-homologous chromosomes (Wendel 2000). The first process may also be able to operate in *rpb2* in Malvaceae, because the exon sequences contain stretches of high conservation that could act as priming sites for an incomplete PCR product. A stretch of such sequence lies in between the halves of the recombined sequence found here, and therefore PCR mediated recombination still offers the most reasonable explanation for the origin of this sequence. It is unclear whether this low level of recombination will be a general property of *rpb2*, but if so, this region has great potential for systematic studies of ancient polyploids where the possession of duplicated nuclear genes is very common.

Phylogenetic utility of rpb2 *in Malvaceae subfamily Malvoideae*

While exons alone provided little phylogenetic information within the subfamily, the combined exon and intron data produced an internally consistent set of characters that gave rise to a phylogenetic hypothesis that was 1) mostly well-resolved and well-supported, 2) largely consistent with cpDNA results, and 3) robust to analysis using alternative models of evolution. Where *rpb2* data fail to robustly resolve relationships in subfamily Malvoideae (between Gossypieae, Malveae, *Alyogyne*, *Hibiscus s.l.* and *Howittia*/*Lagunaria*) other data have also failed (Pfeil et al. 2002).

Conclusion

Low-copy nuclear DNA regions have great potential for phylogenetic analysis at all levels of divergence and may allow for the detection of interesting processes such as ancient polyploidy. However, these regions present technical and analytical challenges. Studies using low-copy nDNA need to 1) investigate the presence of multiple copies, 2) establish orthology versus paralogy, and 3) consider PCR and *Taq* artifacts.

Duplication of *rpb2* was found in several species of Malvaceae and a single gene or segmental duplication appears the more likely cause than ancient polyploidy. Long term maintenance of duplicated copies and low levels of recombination (either inter-locus or PCR-induced) were found in some Malvaceae *rpb2* lineages, in particular *Hibiscus* s.l.. These findings, coupled with well-resolved and well-supported phylogenetic hypotheses congruent with cpDNA results, demonstrate the utility of *rpb2* in Malvaceae systematics and potentially for phylogenetic studies in other groups.

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Table 1. Taxa used in this study. All vouchers were deposited at the Australian National Herbarium (CANB), except living collections which are part of the Australian National Botanical Gardens (ANBG).

Species	**Voucher**	***rpb2* sequence GenBank number[10]**
Ingroup		
Abutilon fraseri (Hook.) Walp.	BEP[1] 262	AY463782
Alyogyne cravenii Fryxell	Fryxell, LAC[2] & Stewart 4870	AY463746, 463762-463768
A. huegelii (Endl.) Fryxell	ANBG[3] 9800039c	AY463717-463722
A. pinoniana (Gaudich.) Fryxell	LAC & BEP 10339	AY463723-463728
Gossypium sturtianum J.H.Willis	Gos[4]-5071	AY463706-463710
Hibiscus macrophyllus Roxb.	LAC 10202	AY463754-463756, 463787, 463788, 463793
H. pedunculatus L. f.	PI[5] 364903	AY463747, 463757-463761
H. surattensis L.	PI 585138	AY463753, 463785, 463786
H. trionum L.	PI 500697	AY463742-463745, 463783, 463784
Howittia trilocularis F.Muell.	ANBG 08910071	AY463731-463733, 463735, 463736
Lagunaria patersonia (Andrews) G.Don	ANBG s.n.	AY463734, 463737-463741
Lavatera arborea L.	Slee 2395	AY463775
Malva neglecta Wallr.	Local weed (n.v.[6])	AY463729-463730
Modiola caroliniana G. Don	CPI[7] 142480	AY463776-463781
Pavonia hastata Cav.	Purchased (n.v.)	AY463749-463750, 463789-463792
Radyera farragei (F.Muell.) Fryxell & S.H.Hashmi	Fryxell, Craven & Stewart 4462	AY463795-463796
Sida acuta Burm. f.	BEP 327	AY463769-463774
Thespesia thepesioides (R.Br. ex Benth.) Fryxell	n.v. (same DNA as Seelanan, et al. 1997)	AY463711-463716
Urena lobata L.	CLB[8] 1451	AY463748, 463751, 463752, 463794
Outgroup[11]		
Fremontodendron californicum (Torrey) Cov. × *mexicanum* Davidson	BEP 339	AY463701-463705
Melhania sp.	CS[9] 24	AY463698-463700

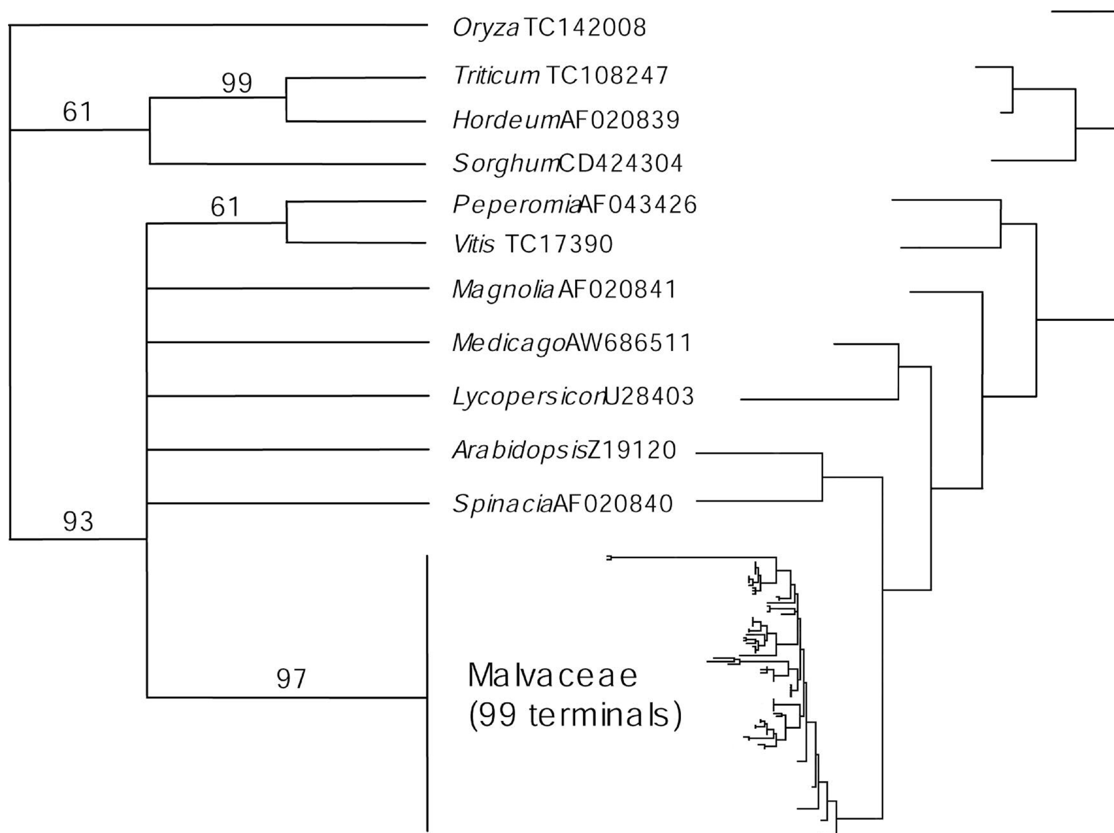
[1]BEP = B.E. Pfeil, [2]LAC = L.A. Craven, [3]ANBG = Australian National Botanic Gardens living collections, [4]Gos = CSIRO *Gossypium* germplasm collection, [5]PI =

U.S.D.A. Plant Introduction, [6]n.v. = not vouchered, [7]CPI = CSIRO Plant Introduction, [8]CLB = C.L. Brubaker, [9]CS = C.L. Brubaker DNA number, [10]GenBank numbers in brackets have been previously published. [11]Outgroup GenBank numbers for the exon-only analysis are shown in Figure 1.

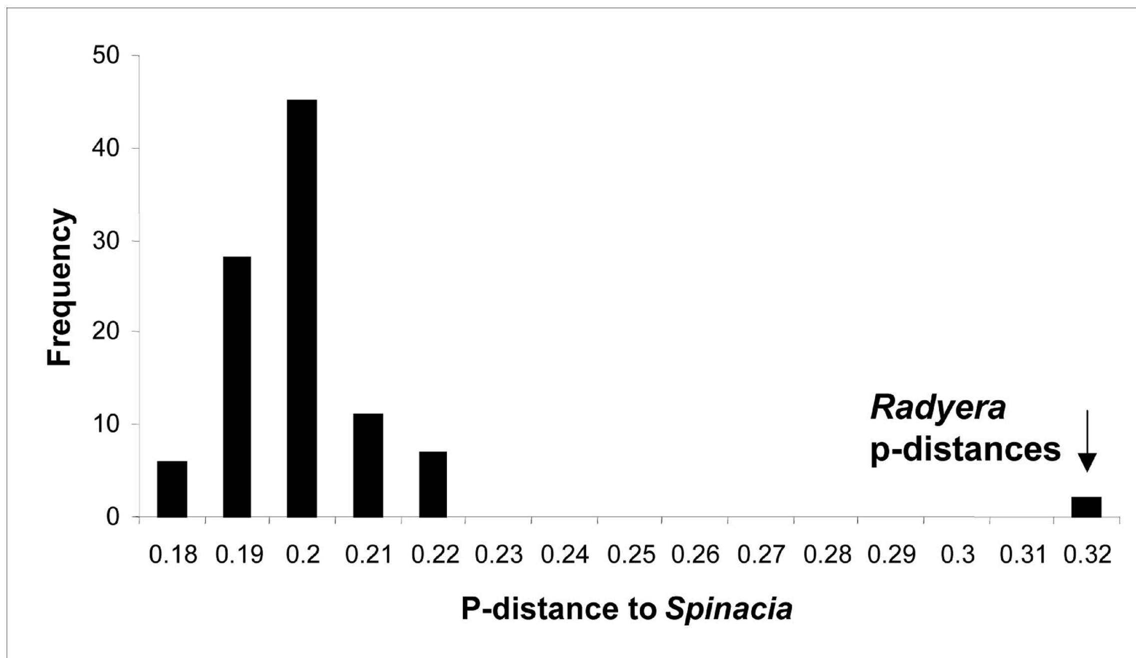
Figure 1. Bootstrap consensus (left) and one most parsimonious phylogram (right) of 385 bp of *rpb2* exon sequences from individual clones (in Malvaceae) and other sequences (from GenBank). Bootstrap percentages above 50% are shown above branches (left). The trees are unrooted.

Figure 2. Distribution of uncorrected p-distances from each ingroup exon sequence to one of the outgroup sequences (*Spinacia*). P-distances calculated using PAUP* (Swofford 1998).

Figure 3. Maximum parsimony strict consensus of 1915 trees following analysis of 810 bp (aligned) of exon + intron Malvaceae *rpb2* sequences with an additional 54 coded indels, rooted using *Melhania* as the outgroup. Bootstrap percentages above 50% shown above branches and posterior probabilities above 50% are below branches (asterisks mark where these values fall below 50%). Clade 1 = *Hibiscus s.l. rpb2* copy 1. Clade 2 = *Hibiscus s.l. rpb2* copy 2. Clade 3 = taxa with only a single *rpb2* copy recovered using PCR methods (Gossypieae, Malveae and *Alyogyne*). Terminal clones from the one species are grouped by a vertical line adjacent to the taxon name, which is followed by clone numbers. *Hibisucs* and *Alyogyne* epithets are included (as these are the only genera with multiple species); other genera are represented by a single species - their epithets can be found in Table 1. *Fremontodendron* is placed in subfamily Bombacoideae, while *Melhania* is placed in subfamily Dombeyoideae (both *sensu* Bayer et al. 1999).



Oryza TC142008
Triticum TC108247
Hordeum AF020839
Sorghum CD424304
Peperomia AF043426
Vitis TC17390
Magnolia AF020841
Medicago AW686511
Lycopersicon U28403
Arabidopsis Z19120
Spinacia AF020840
Malvaceae
(99 terminals)
99
61
61
93
97


Frequency
50
40
30
20
10
0
Radyera
p-distances
0.18
0.19
0.2
0.21
0.22
0.23
0.24
0.25
0.26
0.27
0.28
0.29
0.3
0.31
0.32
P-distance to Spinacia

100
100
Melhania 1,2,3
100
100
Fremontodendron 1,2,5,6,8
100
100
Gossypium
1,2,3,4,6
100
100
Thespesia
1,2,3,6,7,8
100
100
Gossypieae
*
86
Alyogyne huegelii
1,2,3,4,5,6
100
100
85
99
Alyogyne pinoniana
3,5,6,7,8,9
66
96
3
100
100
Malva 1,2
100
100
Lavatera 1
99
100
100
100
Modiola
1,2,3,4,5,6
Malveae
*
70
100
100
Sida
7,8,9,10,11,12
99
100
Abutilon 1
100
100
Howittia 1,6,7
93
100
Lagunaria 13
97
100
100
100
Hibiscus trionum 3,4,5,6
86
98
100
100
Pavonia 10,13
Hibiscus
s.l. clade 1
100
100
100
100
Urena 12,13
*
89
79
100
Hibiscus surattensis 3
99
100
100
100
Hibiscus macrophyllus 6,17,18
(85)
Alyogyne cravenii 3
1
Hibiscus pedunculatus 5
95
100
Urena 15,17
58
97
100
100
Hibiscus surattensis 1,4
*
*
76
100
100
100
Hibiscus trionum 1,2
89
98
93
100
Pavonia 1,2,8,12
94
100
95
100
Hibiscus macrophyllus 7,12,19
Hibiscus
s.l. clade 2
*
95
94
100
100
100
Hibiscus pedunculatus
2,3,6,7,8
2
100
100
72
84
Alyogyne cravenii
2,4,5,7,8,9,10
100
100
Howittia 10,12
97
100
100
100
Lagunaria
11,12,14,18,19
Malvaceae subfamily Malvoideae